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Surfaces, parametrization,  
first fundamental form.

We now move from curves to surfaces. As before, we will always deal with parametrized surfaces.

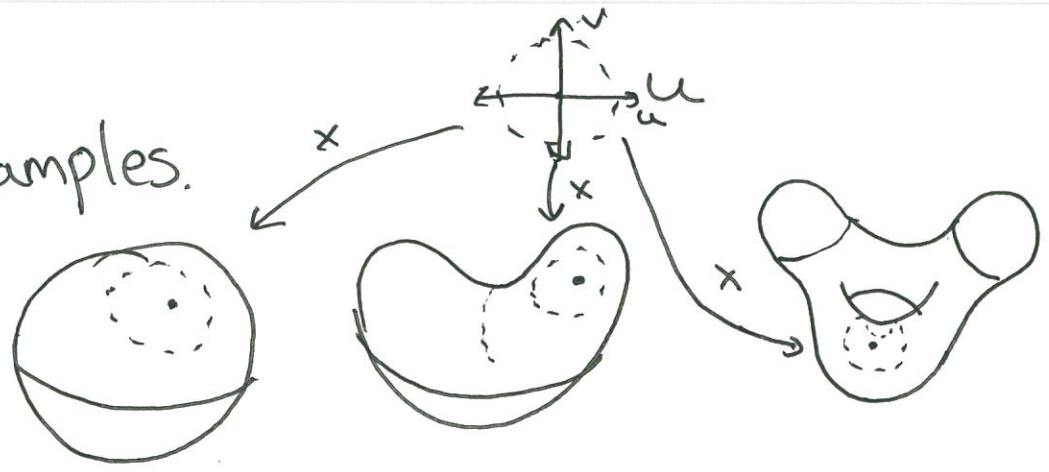
Definition. A regular parametrization of a subset  $M \subset \mathbb{R}^3$  is a 1-1 function

$$x: U \rightarrow M \text{ so } x_u \times x_v \neq \vec{0}$$

for an open set  $U \subset \mathbb{R}^2$ . A connected subset of  $\mathbb{R}^3$  is called a surface if each point has a regularly parametrized neighborhood.

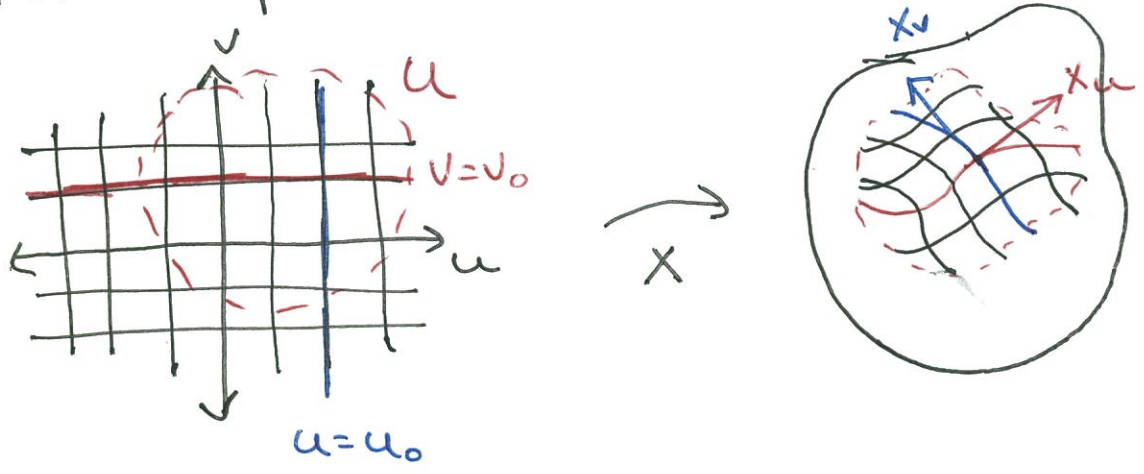
This is already puzzling!

Examples.



The point is that we may not be able to establish a single consistent set of local coordinates  $(u, v)$  on the entire surface.

The map  $x$  takes curves  $x(u_0, v)$ ,  $x(u, v_0)$



to space curves on  $M$ . Their tangents are the partial derivatives  $x_u, x_v$  and we require that  $x_u, x_v$  span a plane with normal  $x_u \times x_v$ .

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Examples.

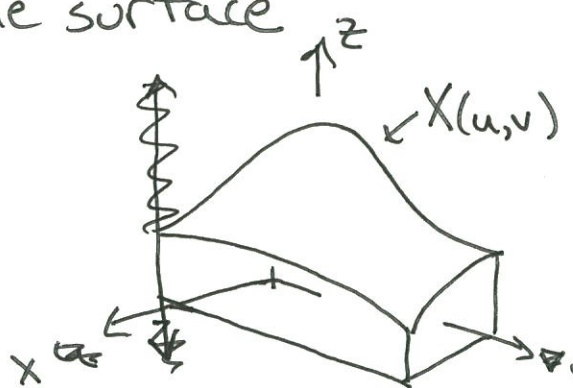
The graph of  $f: U \rightarrow \mathbb{R}$  is the surface

$$X(u, v) = (u, v, f(u, v))$$

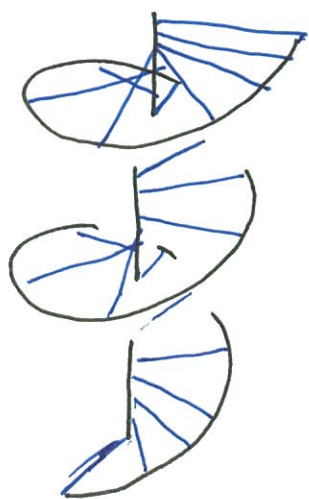
We compute

$$\begin{aligned} X_u \times X_v &= (1, 0, f_u) \times (0, 1, f_v) \\ &= (-f_{uv}, -f_{vu}, 1) \neq 0 \end{aligned}$$

$\uparrow_u$                        $\uparrow_v$



The helicoid is the surface formed by drawing horizontal rays from the axis of a helix.



$$X(u, v) = (u \cos v, u \sin v, bv)$$

We compute

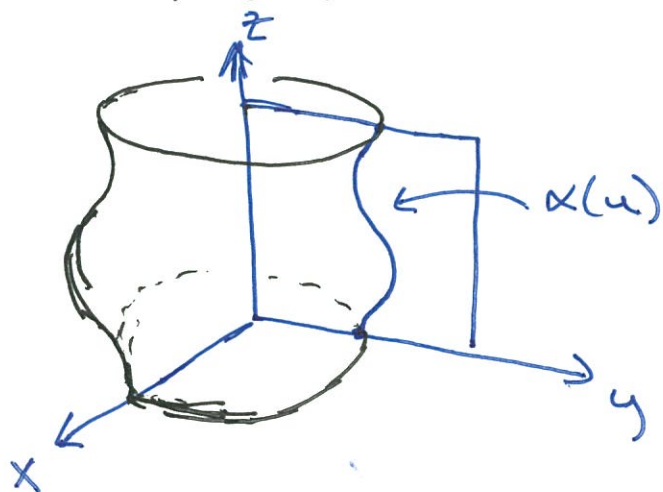
$$\begin{aligned} X_u \times X_v &= (\cos v, \sin v, 0) \times (-u \sin v, u \cos v, b) \\ &= (b \sin v, -b \cos v, u) \neq 0 \end{aligned}$$

~~as along~~

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The surface of revolution given by rotating  $\alpha(u) = (0, f(u), g(u))$  around the z-axis is given by

$$x(u, v) = (f(u) \cos v, f(u) \sin v, g(u))$$



We compute

~~$X_u \times X_v$~~

$$\begin{aligned} X_u \times X_v &= (f'(u) \cos v, f'(u) \sin v, g'(u)) \times (-f(u) \sin v, f(u) \cos v, 0) \\ &= (-f(u)g'(u) \cos v, -f(u)g'(u) \sin v, f'(u)f(u)) \end{aligned}$$

Thus

$$|X_u \times X_v| = |f(u)| \sqrt{f'(u)^2 + g'(u)^2} = |f(u)| |\alpha'(u)|$$

and the surface is regular away from the z-axis as long as  $\alpha(u)$  is a regular curve.

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Given a regular curve  $\alpha(u)$  and a curve  $\beta(u)$  (not through the origin) we can define

$$X(u, v) = \alpha(u) + v\beta(u)$$

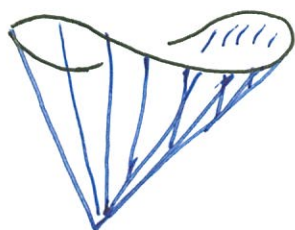
Since the curves  $X(u_0, v)$  are straight lines, we call this a ruled surface and  $\beta$  the  rulings  ( $\alpha$  is called the directrix).

$$X_u \times X_v = (\alpha'(u) + v\beta'(u)) \times \beta(u)$$

so this may or may not be regular.

Examples.

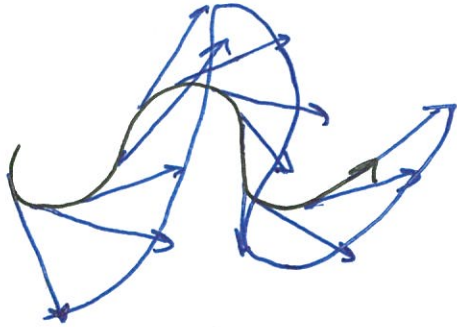
$\alpha(u) = \vec{0}$ , gives the cone over  $\beta$



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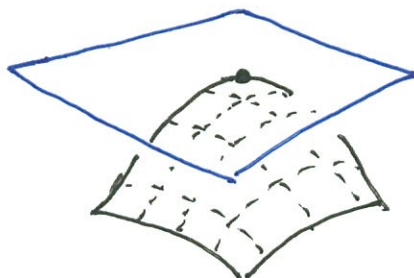
$\alpha(u)$  some curve,  $\beta(u) = \alpha'(u)$

This is called the tangent developable.



For curves, we were very concerned with the tangent vector. For surfaces, we have

Definition. Let  $M$  be a parametrized surface with regular parametrization  $X$ , and suppose  $P = (X(u_0, v_0))$ . The tangent plane of  $M$  at  $P$  is the plane  $T_P M$  through  $P$  with normal  $X_u \times X_v$ .



Important Question. Does  $T_p M$  depend on the choice of parametrization? Or only on  $M$  itself?

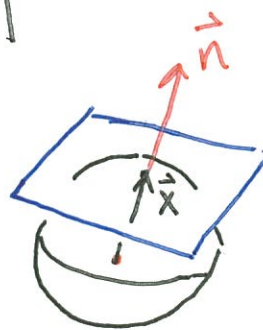
Our notation should lead you to guess that different choices of  $x$  give you the same plane. This is true.

Definition. The unit normal  $\vec{n}$  of  $M$  is the vector 
$$\vec{n} = \frac{X_u \times X_v}{|X_u \times X_v|}$$

Examples.

1)  $M$  is the unit sphere.

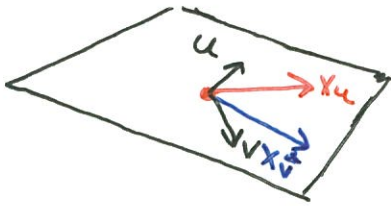
$$\vec{n} = \vec{x}$$



2)  $M$  is the graph of  $f(u, v)$ .

$$\vec{X}_u \times \vec{X}_v = (-f_u, -f_v, 1), \quad \vec{n} = \frac{(-f_u, -f_v, 1)}{\sqrt{1 + f_u^2 + f_v^2}}$$

We now introduce a way to do measurements on a surface  $M$ .



Given vectors  $u, v$  in  $T_p M$ , we can write them ~~in~~ in the  $x_u, x_v$  basis as

$$u = a x_u + b x_v$$

$$v = c x_u + d x_v$$

~~The~~ Definition. The first fundamental form  $I_p$  is the <sup>symmetric</sup>  $2 \times 2$  matrix so that

$$\left\langle (a, b), I_p(c, d) \right\rangle_{\mathbb{R}^2} = \left\langle \vec{u}, \vec{v} \right\rangle_{\mathbb{R}^3}$$

Proposition.  $I_p = \begin{bmatrix} E & F \\ F & G \end{bmatrix}$  where  $E = \langle \vec{x}_u, \vec{x}_u \rangle_{\mathbb{R}^3}$ ,

$$F = \langle \vec{x}_u, \vec{x}_v \rangle_{\mathbb{R}^3}, \quad G = \langle \vec{x}_v, \vec{x}_v \rangle_{\mathbb{R}^3}.$$



Proof. On one hand,

$$\begin{aligned} \left\langle (a,b), \begin{bmatrix} E & F \\ F & G \end{bmatrix} \begin{pmatrix} c \\ d \end{pmatrix} \right\rangle_{\mathbb{R}^2} &= \left\langle (a,b), (Ec + Fd, Fc + Gd) \right\rangle_{\mathbb{R}^2} \\ &= Eac + F \cancel{ad} + Fbc + Gbd \\ &= Eac + F(ad + bc) + Gbd \end{aligned}$$

On the other,

$$\begin{aligned} \langle \vec{u}, \vec{v} \rangle_{\mathbb{R}^3} &= \langle a\vec{x}_u + b\vec{x}_v, c\vec{x}_u + d\vec{x}_v \rangle_{\mathbb{R}^3} \\ &= ac \langle \vec{x}_u, \vec{x}_u \rangle_{\mathbb{R}^3} + (ad + bc) \langle \vec{x}_u, \vec{x}_v \rangle \\ &\quad + bd \langle \vec{x}_v, \vec{x}_v \rangle. \end{aligned}$$

Since ~~is~~ this has to work for all  $a, b, c, d$ , it's easy to conclude that  $E, F, G$  are as given before.  $\square$

A symmetric matrix used in this way is called a quadratic form. The operation It defines a new inner product on  $\mathbb{R}^2$

given by

$$I_p(\vec{w}, \vec{z}) = \left\langle \vec{w}, \begin{bmatrix} E & F \\ F & G \end{bmatrix} \vec{z} \right\rangle_{\mathbb{R}^2}$$

$$= \left\langle \vec{w}, \vec{z} \right\rangle_{I_p}$$

↑ note notation!

and a new norm on  $\mathbb{R}^2$ :

$$\|\vec{w}\|_{I_p}^2 = I_p(\vec{w}, \vec{w}).$$

We now introduce a deep idea.

Definition. Surfaces  $M$  and  $M^*$  are locally isometric if ~~they~~ for each  $p \in M$  there is a  $p^* \in M$  and regular parametrizations  $X: U \rightarrow M$  and  $X^*: U \rightarrow M^*$  so that for all  $(u, v)$  in ~~U~~  $U$  we have

↘ covering  $p, p^*$

$$I_{X(u,v)} = I_{X^*(u,v)}$$

or more specifically,

$$E = \langle X_u, X_u \rangle = \langle X_u^*, X_u^* \rangle = E^*$$

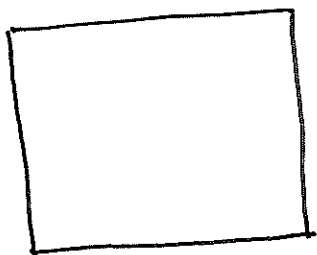
$$F = \langle X_u, X_v \rangle = \langle X_u^*, X_v^* \rangle = F^*$$

$$G = \langle X_v, X_v \rangle = \langle X_v^*, X_v^* \rangle = G^*$$

Note that we did not ask that  $M$  and  $M^*$  be related by a rigid motion of  $\mathbb{R}^3$ , though that would suffice!

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Example.



$$X(u,v) = (u, v, 0)$$

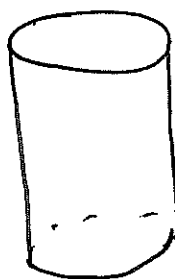
$$X_u = (1, 0, 0)$$

$$X_v = (0, 1, 0)$$

$$E = \langle X_u, X_u \rangle = 1$$

$$F = \langle X_u, X_v \rangle = 0$$

$$G = \langle X_v, X_v \rangle = 1$$



$$X^*(u,v) = (\cos u, \sin u, v)$$

$$X_u^* = (-\sin u, \cos u, 0)$$

$$X_v^* = (0, 0, 1)$$

$$E^* = \langle X_u^*, X_u^* \rangle = \sin^2 u + \cos^2 u = 1$$

$$F^* = \langle X_u^*, X_v^* \rangle = 0$$

$$G^* = \langle X_v^*, X_v^* \rangle = 1$$

So these are locally isometric!