

More on symmetry and majorization.

①

Suppose

$$\begin{aligned}(x+a_1) \cdots (x+a_n) &= x^n + C_1 x^{n-1} + \cdots + C_n \\ &= x^n + \binom{n}{1} p_1 x^{n-1} + \binom{n}{2} p_2 x^{n-2} + \cdots + p_n\end{aligned}$$

The C_i are the elementary symmetric functions of the a_i - that is

$$C_1 = a_1 + \cdots + a_n$$

$$C_2 = \cancel{a_1 a_2} + a_1 a_2 + \cancel{a_2 a_1} + a_1 a_3 + \cancel{a_3 a_1} + \cdots = \sum_{\substack{i < j \\ i, j}} a_i a_j$$

$$C_k = \sum_{\substack{i_1, \dots, i_k \\ \text{distinct} \\ i_1 < \dots < i_k}} a_{i_1} \cdots a_{i_k}$$

$$C_n = a_1 \cdots a_n$$

while the p_i are the corresponding averages (instead of sums).

All of the p_i are symmetric means of the a_i , since

$$C_r = \underbrace{\left(\sum! a_1 \dots a_r \right)}_{\text{each of these occurs multiple times}} \cdot \frac{1}{r! (n-r)!}$$

ways to rearrange $n-r$ terms left out of product

ways to rearrange r terms in product

and

$$P_r = \frac{1}{\binom{n}{r}} C_r = \frac{1}{\frac{n!}{r! (n-r)!}} C_r = \frac{1}{n!} \sum! a_1 \dots a_r = \underbrace{[1, 1, \dots, 1, 0, \dots, 0]}_{r \text{ times}}$$

Now if $p_r = [\alpha]$, then $\sum \alpha_i = r$, so different p_r can't majorize one another and so can't be directly comparable. They can (and do!) obey non linear inequalities.

Theorem (Newton) $P_{r-1} P_{r+1} \leq P_r^2$, for any real ^{not zero} a_i (including negative ones!), with equality only if all a_i are equal.

$$= \frac{n!}{(n-(i+j))!} \frac{(n-(i+j))!}{(n-(i+j)-(k-j))! (k-j)!} P_k X^{n-k-i} y^{k-j} \quad (5)$$

$$= \frac{n!}{(n-(i+j))!} \binom{n-(i+j)}{k-j} P_k X^{n-k-i} y^{k-j}$$

Now ~~again~~ let's pick some r and

let $i = n - r + 1$, $j = r - 1$, so $i + j = n - 2$.

Then most of the X^{n-k-i} and y^{k-j} vanish.

Simplifying, the general term is

$$= \frac{n!}{2!} \binom{2}{k-r+1} P_k X^{r+1-k} y^{k-r+1}$$

and this is nonzero only when

$$k-r+1 = 2, \quad k-r+1 = 1, \quad k-r+1 = 0$$

Lemma. If

$$f(x, y) = c_0 x^m + c_1 x^{m-1} y + \dots + c_m y^m = 0$$

has all roots x/y real, then same is true of all roots of

$$\frac{\partial^{i+j}}{\partial x^i \partial y^j} f(x, y) = 0. \quad \left(\text{unless } \frac{\partial^{i+j}}{\partial x^i \partial y^j} f(x, y) \text{ vanishes, identically.} \right)$$

Further, if α is a root of multiplicity $M > 1$ of one of these equations^E, it is a root of multiplicity $M+1$ of the equation ~~from~~ E' which for which $\frac{\partial}{\partial x} E' = E$ or $\frac{\partial}{\partial y} E' = E$.

Proof. Exercise.

(4)

Suppose that

$$f(x, y) = (x + a_1 y) \cdots (x + a_n y)$$

$$= P_0 x^n + \binom{n}{1} P_1 x^{n-1} y + \cdots + \binom{n}{n} P_n x^0 y^n$$

$$= \sum_{k=0}^n \binom{n}{k} P_k x^{n-k} y^k$$

We compute

$$\frac{\partial^{i+j}}{\partial x^i \partial y^j} \binom{n}{k} P_k x^{n-k} y^k =$$

$$= \binom{n}{k} P_k (n-k)(n-k-1)\cdots(n-k-i+1) k(k-1)\cdots(k-j+1) x^{n-k-i} y^{k-j}$$

$$= \binom{n}{k} P_k \frac{(n-k)!}{(n-k-i)!} \frac{k!}{(k-j)!} x^{n-k-i} y^{k-j}$$

$$= \frac{n!}{k! (n-k)!} \frac{(n-k)!}{(n-k-i)!} \frac{k!}{(k-j)!} P_k x^{n-k-i} y^{k-j}$$