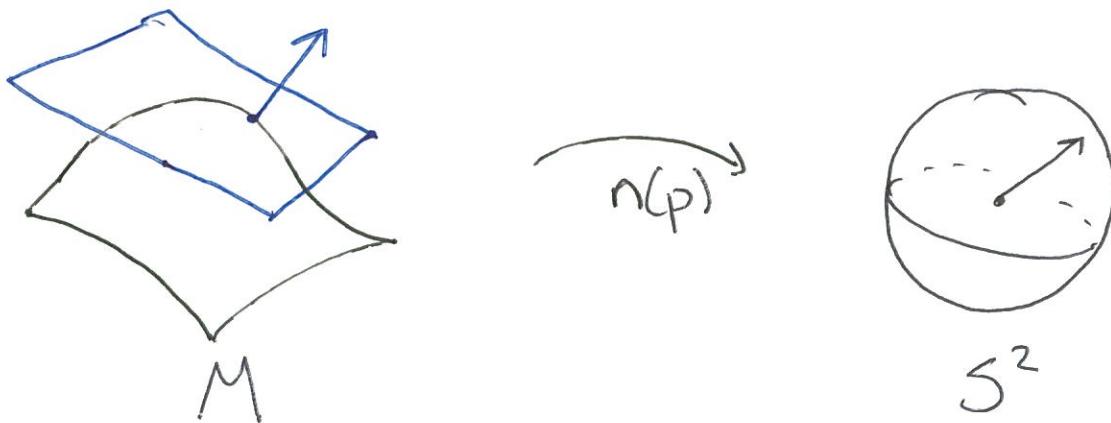


(1)

# Gauss Map and Second Fundamental Form.

Given a surface, there's a natural map

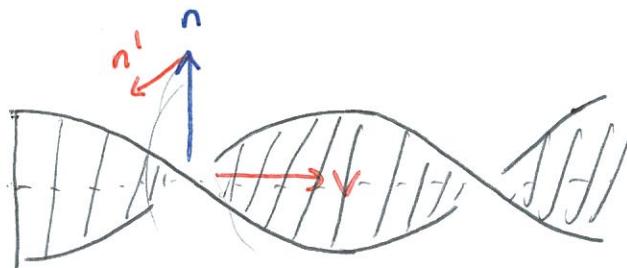
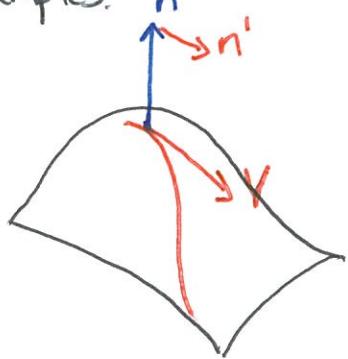


assigning the unit normal  $n(p)$  to each point  $p$  on  $M$ .

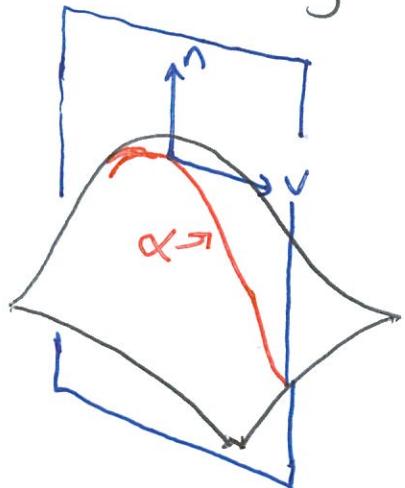
We are going to use  $n(p)$  and its derivatives to understand how  $M$  curves at  $P$ . We can think of  $n(p)$  as a kind of tangent indicatrix for surfaces - the derivative of  $T$  yields curvature.

(2)

Examples.



The directional derivative  $D_v n$  tells us how  $M$  is bending in the  $v$  direction. In fact, if we slice  $M$  by the plane containing  $\vec{v}$  and  $\vec{n}$ , and parametrize the slice by arclength as  $\alpha(s)$ , then



$$\alpha(0) = p$$

$$\alpha'(0) = \vec{v}$$

$$N(0) = \pm \vec{n}(p)$$

↑ principal normal of  $\alpha$

Claim.  $\pm X(0) = -\langle D_v n, v \rangle$ .

Since  $\alpha$  is in the surface,  $\langle \alpha', n(\alpha(s)) \rangle = 0$  or  $\langle T(s), \vec{n}(\alpha(s)) \rangle = 0$ . So

$$\frac{d}{ds} \langle T(s), \vec{n}(\alpha(s)) \rangle = \langle T'(s), \vec{n}(\alpha(s)) \rangle + \langle T(s), \frac{d}{ds} \vec{n}(\alpha(s)) \rangle$$

(3)

$$= \langle T'(s), \vec{n}(\alpha(s)) \rangle + \langle T(s), D_{T(s)} \vec{n} \rangle$$

$$= 0,$$

Using the fact that the directional derivative of any function on a surface is the ordinary derivative w.r.t. arclength along a curve with tangent vector equal to the direction. So we can write

$$-\langle \vec{v}, D_{\vec{v}} \vec{n} \rangle = \langle T', \vec{n} \rangle$$

$$= \langle \times N, \vec{n} \rangle$$

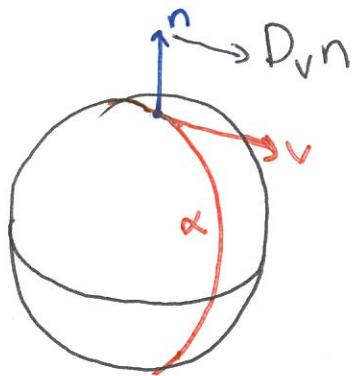
$$= \pm K(0).$$

□

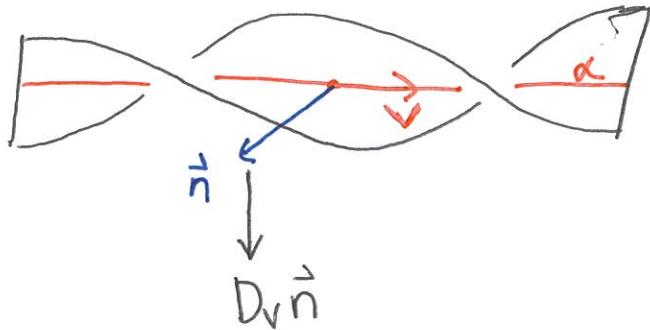
So the  $\downarrow$  directional derivative in the fraction of the direction of variation tells us the curvature of that slice.

(4).

We can check



lots of curvature  
for  $\alpha$



no curvature for  $\alpha$

We now prove

Proposition. For any  $\vec{v} \in T_p M$ ,  $D_{\vec{v}} \vec{n} \in T_p M$ .

We can define a symmetric linear map

$$S_p(\vec{v}) = -D_{\vec{v}} \vec{n}$$

called the shape operator.

Proof. Since  $\vec{n}$  has unit norm,

$$0 = D_{\vec{v}} \langle \vec{n}, \vec{n} \rangle = 2 \nabla \langle D_{\vec{v}} \vec{n}, \vec{n} \rangle$$

so  $D_{\vec{v}} \vec{n}$  is in the plane with normal  $\vec{n}$  — that is,  $T_p M$ .

(5)

Note: That's the first time we've differentiated an inner product with a directional derivative, but remember that

$$\langle \vec{n}(x(u,v)), \vec{n}(x(u,v)) \rangle = g(u,v)$$

is constant ~~in~~ as a function of  $u, v$  and  $D_v g$  is just a linear combination of  $u$  and  $v$  partials.

We now show symmetry: for any  $\vec{u}, \vec{v}$

$$\langle S_p(\vec{u}), \vec{v} \rangle = \langle \vec{u}, S_p(\vec{v}) \rangle.$$

First, suppose  $\vec{u} = \vec{x}_u$ ,  $\vec{v} = \vec{x}_v$ . Now  $\vec{x}_u, \vec{x}_v$  are always tangent vectors, so

$$\langle \vec{n}, \vec{x}_u \rangle = 0 \Rightarrow \langle \vec{n}_u, \vec{x}_u \rangle = -\langle \vec{n}, \vec{x}_{uu} \rangle$$

$$\langle \vec{n}, \vec{x}_u \rangle = 0 \Rightarrow \langle \vec{n}_u, \vec{x}_v \rangle = -\langle \vec{n}, \vec{x}_{uv} \rangle.$$

(6)

(here  $\vec{n}_u = D_{x_u} \vec{n} = \frac{\partial}{\partial u} \vec{n}(x(u,v))$ ), so

$$\langle S_p(\vec{x}_u), \vec{x}_v \rangle = -\langle D_{x_u} \vec{n}, \vec{x}_v \rangle$$

$$= -\langle \vec{n}_u, \vec{x}_v \rangle$$

$$= \langle \vec{n}, \vec{x}_{vu} \rangle$$

$$= \langle \vec{n}, \vec{x}_{uv} \rangle$$

$$= -\langle \vec{n}_v, \vec{x}_u \rangle = -\langle D_{x_v} \vec{n}, \vec{x}_u \rangle = \langle S_p(\vec{x}_v), \vec{x}_u \rangle.$$

mixed partials commute!

Now to prove symmetry for any  $\vec{u}, \vec{v}$   
 we just write them as linear combin.  
 of  $\vec{x}_u, \vec{x}_v$  and expand / simplify.

I'm going to leave this as an  
 exercise, though Shifrin writes  
 it out.

(7)

## Examples.

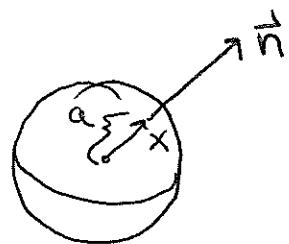
If  $S_p(\vec{v}) = 0$  for all  $p, v$  then  $M$  is a plane (or a subset of a plane).

Since  $S_p(\vec{v}) = -D_{\vec{v}} \vec{n}$ , this shows all directional derivatives of  $\vec{n}$  are 0.

~~less~~ Hence  $\vec{n}$  is constant and  $M$  can't leave the plane normal to  $\vec{n}$ .

If  $M$  is a sphere, of radius  $a$ , then  $S_p(\vec{v}) = -\frac{1}{a} \vec{v}$ .

We know that



$\vec{n}$  is a

unit vector in the direction of  $\vec{x}$ ,

$$\text{so } \vec{n} = \frac{1}{a} \vec{x}. \text{ Thus } D_{\vec{v}} \vec{n} = D_{\vec{v}} \left( \frac{1}{a} \vec{x} \right) = \frac{1}{a} \vec{v}.$$

This last takes a little unpacking, but recall that  $x$  is a function of

(8)

$u$  and  $v$  (the parameters) so

$$\vec{n}(u,v) = \frac{1}{a} \vec{x}(u,v) \Rightarrow \vec{n}_u = \frac{1}{a} \vec{x}_u \\ \vec{n}_v = \frac{1}{a} \vec{x}_v$$

Thus if  $\vec{V} = \lambda_1 \vec{x}_u + \lambda_2 \vec{x}_v$  we have

$$D_{\vec{V}} \vec{n} = \lambda_1 \vec{n}_u + \lambda_2 \vec{n}_v = \\ = \frac{\lambda_1}{a} \vec{x}_u + \frac{\lambda_2}{a} \vec{x}_v \\ = \frac{1}{a} (\lambda_1 \vec{x}_u + \lambda_2 \vec{x}_v) = \frac{1}{a} \vec{V}$$

as claimed. Thus  $S_p(\vec{v}) = -D_{\vec{v}} n = -\frac{1}{a} \vec{v}$ .